

AUDIT AND RISK MANAGEMENT COMMITTEE - Outstanding Actions- September 2015 update

| | Item | Action | Officer responsible | Progress updates/target |
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| 2 | Committee Satisfaction Survey (added 4.11.14) | One of the Members offered to provide a pro-forma used within their place of business and Members agreed that input into future questions would be helpful. | Neil Davies | The next survey would take place next at the beginning of 2016 and the Committee would receive a further report on the method and style of the questionnaire in November 2015. |
| 3 | Risk Challenge Sessions/deep dive reviews (added 2.6.15) | It was suggested that the corporate risk deep dives be re-introduced, in order to complement the Chief Officer risk challenge sessions | Paul Dudley/ Julie Mayer | With effect from September, once the new risk register was in place. |
| 4 | Head of Internal Audit – Annual Opinion (added 2.6.15) | <ol style="list-style-type: none"> 1. Members asked if future reports could provide a comparison with the previous years' performance and give greater visibility to improvements, - ie the regular inclusion of risk management reports on all Grand Committee agendas and the implementation of the Risk Challenge sessions. 2. Peer Review - As this had not been progressed across other authorities, officer would need to look at alternative benchmarking and report back to the Committee in due course. | Chris Harris/ Anna Simmonds/ Paul Dudley | <ol style="list-style-type: none"> 1. On-going. 2. Members noted that, as a number of organisations were undertaking mock external reviews, this might be an option. |
| 6 | Corporate Risk Register Review (added 2.6.15) | The Chamberlain advised that 3 further risks had been identified by the Chief Officers' Risk Management Group (CORMG) and they would be reported to the Committee in September. Members asked for the report to clarify a number of points raised in relation to the Committee's Terms of Reference. | Peter Kane | Next Corporate Risk Update - September 2015 |

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| 7 | Cyber Fraud (added 2.6.15) | Baker Tilly's Head of IT Audit will provide external advice on cyber fraud to inform the assessment of the level and types of risk facing the Corporation and the Committee would receive a presentation on the outcome of this work. | Chris Keesing Nirupa Gardener | Cyber Fraud Update on September's Agenda. |